

DIRK KEMPTHORNE
GOVERNOR

GAVIN M. GEE
DIRECTOR

STATE OF IDAHO
DEPARTMENT OF FINANCE
CONSUMER FINANCE BUREAU

700 W State, 2nd Floor, Boise ID 83702
P O BOX 83720 - BOISE ID 83720-0031
TELEPHONE: (208) 332-8002
FAX: (208) 332-8096



Idaho
Collection Agency

General

2006 Renewal

CCA

<http://finance.idaho.gov>

DUE 03/15/2006

____ 1. LICENSE REVISION. If the agency name or address printed on your permit has been changed, please submit:

- ____ Your original Idaho collection agency permit
- ____ Original bonds reflecting the change or a rider to the existing policy reflecting the change
- ____ If a name change, a copy of revised Articles of Incorporation/Organization
- ____ If a name change, a copy of the amended Certificate of Authority, or other appropriate document filing, from the Idaho Secretary of State (208/334-2300 or <http://www.idsos.state.id.us/>)
- ____ If a name change, a copy of the amended name filing from domicile state evidencing name change

____ 2. D/B/As. Provide a list of all other business names used: _____

____ If a d/b/a is used in Idaho and does not appear on the permit, provide a copy of the issued Idaho certificate of assumed business name.

____ 3. BRANCHES. Provide a list of all branches that contact Idaho debtors and/or Idaho creditors regardless where located (attach separate sheet if necessary)

Street	City	State	Zip	Telephone
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____ 4. FINANCIAL STATEMENT. Provide a signed balance sheet (model available on our website), prepared within the last 90 days.

____ 5. QUALIFYING OFFICER(S). Provide current information regarding the qualifying officer(s) who have taken and passed the examination given by the Idaho Department of Finance required by the Idaho Collection Agency Act:

Name	Street Address	City	State	Zip	Telephone
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____ 6. COMPLAINT RESOLUTION. Provide current information regarding the person to contact for complaint resolution.

Name	Street Address	City	State	Zip	Toll Free Telephone Number
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____ 7. SERVICE OF PROCESS. Provide current information regarding the person in Idaho upon whom service of process may be made. (This person or company is authorized to receive legal documents on behalf of the permittee, and must reside in Idaho.)

Name	Street Address	City	State	Zip	Telephone
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8. AGENTS. List all agents collecting from Idaho residents and/or soliciting Idaho creditor clients (attach separate sheet(s) if necessary):

Name	Desk Name	Location
Name	Desk Name	Location
Name	Desk Name	Location

ENTER TOTAL number of agents: _____

9. FEES.

A) Annual Permit Renewal Fee: \$ 50.00

B) Agent Registration Fee: \$20.00 X total number of agents: + \$ _____
listed in # 8 and qualifying officer(s) listed in # 5

C) Indicate total amount submitted: = \$ _____

10. BONDS. Supply statement of preceding year's net collections. Calculate any change in bond amount required:

A. Total gross payments to agency during preceding year \$ _____

B. Subtract fees earned - _____

C. TOTAL NET COLLECTIONS = _____

D. Divide total net collections by 6 \$ _____

E. Round to the next highest \$1000 \$ _____

The amount of the bond required upon renewal is \$15,000 or the amount shown on line 10.E., whichever amount is greater, up to a maximum of \$100,000. Submit original bond or bond rider, fully executed, if required bond amount has changed. This bond is in addition to the \$2,000 bond required of all permittees.

BACKGROUND INFORMATION

11. If a "yes" answer is given for any of the questions below, provide the following details on a separate sheet: the organization and individuals involved, the title and date of any court or regulatory action, the court or regulatory agency in which the action was taken, and a description of the action.

A. Is/has the permittee, or any officer, director, partner, member or manager of the permittee, within the past ten years:

	YES	NO
1) Been found by any court or federal or state regulatory agency to have violated any provision of the Idaho Collection Agency Act, Fair Debt Collection Practices Act or Fair Credit Reporting Act?	<input type="checkbox"/>	<input type="checkbox"/>
2) Been found by any court or federal or state regulatory agency to have violated any contract or agreement of a type mentioned in the Acts listed in #1?	<input type="checkbox"/>	<input type="checkbox"/>
3) Been found by any court or federal or state regulatory agency to have failed, refused, neglected, on demand, to pay or remit to any client the agreed portion of any sum collected by the permittee on any bill, claim, account or other indebtedness entrusted to the permittee for collection?	<input type="checkbox"/>	<input type="checkbox"/>
4) Been found by any court or federal or state regulatory agency to have failed to return to a debtor an amount that was not to be paid on his debts?	<input type="checkbox"/>	<input type="checkbox"/>
5) Been found by any court or federal or state regulatory agency to have misappropriated or converted to his own use or illegally withheld moneys collected or held for any other person?	<input type="checkbox"/>	<input type="checkbox"/>
6) Been convicted of, found guilty of, pled guilty to, or received a withheld judgment by a court for forgery, embezzlement, fraud, obtaining money under false pretenses, larceny, extortion, conspiracy to defraud or other like offense, any theft offense, a crime involving moral turpitude, or violating any provision of the Acts listed in #1 or is currently disbarred from the practice of law in any state?	<input type="checkbox"/>	<input type="checkbox"/>

7) Had a permit revoked, canceled, denied in any state, been the subject of a cease and desist order or any other administrative action or enforcement proceeding by any state or federal government agency involving fines, penalties, corrective action or sanctions? ☐ ☐

B. Does the permittee, or any member or manager of the permittee, or any officer or manager of the permittee owe outstanding, unpaid, delinquent and undisputed accounts or judgments? ☐ ☐

Criminal Disclosure

C. 1) Has the permittee or any officer, director, partner, member, manager or qualifying officer of the permittee:

a) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony, within the past 10 years? ☐ ☐

b) been charged with any felony, within the past 10 years? ☐ ☐

2) Has the permittee or any officer, director, partner, member, manager or qualifying officer of the permittee, within the past 10 years:

a) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a misdemeanor involving: financial services or a financial services-related business or any fraud, false statements or omissions, theft or any wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses? ☐ ☐

b) been charged with a financial services related misdemeanor? ☐ ☐

Regulatory Action Disclosure

3) Has any state or federal regulatory agency or foreign financial regulatory authority ever:

a) found the permittee or any officer, director, partner, member, manager or qualifying officer of the permittee, within the past 10 years, to have made a false statement or omission or been dishonest, unfair or unethical? ☐ ☐

b) found the permittee or any officer, director partner, member, manager or qualifying officer of the permittee, within the past 10 years, to have been involved in a violation of a financial services-related regulation(s) or statute(s)? ☐ ☐

c) found the permittee or any officer, director, partner, member or qualifying officer of the permittee, within the past 10 years, to have been a cause of a financial services-related business having its authorization to business denied, suspended, revoked or restricted? ☐ ☐

d) entered an order against the permittee or any officer, director, partner, member, manager or qualifying officer of the permittee, within the past 10 years, in connection with a financial services-related activity? ☐ ☐

e) denied, suspended or revoked the permittee's or any officer's, director's, partner's member's or qualifying officer's of the permittee's registration or license or otherwise, by order, prevented it from associating with a financial services-related business or restricted its activities, within the past 10 years? ☐ ☐

4) Has the permittee or any officer, director, partner, member, manager or qualifying officer of the permittee, within the past 10 years, had its authorization to act as an attorney, accountant, or state or federal contractor revoked or suspended? ☐ ☐

5) Is the permittee or any officer, director, partner, member, manager, or qualifying officer of the permittee now the subject of any regulatory proceeding that could result in a "yes" answer to any part of 11C? ☐ ☐

Civil Judicial Disclosure

6) a) Has any domestic or foreign court, within the past 10-years:

- 1) enjoined the permittee or any officer, director, partner, member, manager or qualifying officer of the permittee, in connection with any financial services-related activity? ☐ ☐
- 2) ever found the permittee or any officer, director, partner, member, manager, or qualifying officer of the permittee to be involved in a violation of any financial services-related statute(s) or regulation(s)? ☐ ☐
- b) Is the permittee or any officer, director, partner, member, manager or qualifying officer of the permittee named in any pending financial services-related action that could result in a 'yes' answer to any part of 11C? ☐ ☐

Financial Disclosure

- 7) In the past 10 years has the permittee or any officer, director, partner, member, manager or qualifying officer of the permittee been the subject of a bankruptcy petition? ☐ ☐
- 8) Has a bonding company ever denied, paid out on, or revoked a bond for the permittee or any officer, director, partner, member, manager or qualifying officer of the permittee? ☐ ☐

CERTIFICATION

I CERTIFY that the information provided on this form is true and correct.

Print name: _____ Title: _____
(Person authorized to sign on behalf of permittee)

Signature: _____ Date: _____

Telephone: _____ Fax: _____ E-mail: _____

CONTACT INFORMATION

Regular Mail:

Department of Finance
Consumer Finance Bureau
P O Box 83720
Boise ID 83720-0031

Overnight Mail:

Department of Finance
Consumer Finance Bureau
700 W State St 2nd Flr
Boise ID 83702

<http://finance.idaho.gov>

Phone: 208-332-8002
Fax: 208-332-8096
E-Mail: billie.kay@finance.idaho.gov

IMPORTANT: PLEASE READ

- **SUBMIT \$50 RENEWAL FEE (see # 9) PAYABLE TO THE IDAHO DEPARTMENT OF FINANCE**
- **SUBMIT \$20 FOR REGISTRATION OF EACH COLLECTOR/SOLICITOR/AGENT/QUALIFYING OFFICER**
- **SUBMIT A FINANCIAL STATEMENT (see # 4)**
- **SUBMIT BOND CALCULATION (see # 10). SUBMIT BOND/RIDER IF NEEDED BY MARCH 15, 2006**
- **RENEWALS AND DOCUMENTS MUST BE POSTMARKED BY MARCH 15, 2006**
- **IF POSTMARKED AFTER MARCH 15, 2006, YOUR PERMIT WILL BE CANCELLED AND NO COLLECTION OR SOLICITATION ACTIVITY CAN BE CONDUCTED UNTIL THE PERMIT IS RESTORED.**
- **CONDUCTING COLLECTION OR SOLICITATION ACTIVITY IN IDAHO WITH A CANCELLED PERMIT, (Idaho Code § 26-2221, *et seq.*), CARRIES CIVIL AND CRIMINAL PENALTIES**
- **IF YOUR PERMIT IS CANCELLED YOU MAY RESTORE IT BEFORE SEPTEMBER 15, 2006 BY SUBMITTING A COMPLETE RENEWAL AND \$200 (\$50 RENEWAL FEE PLUS \$150 RESTORATION FEE), AND CERTIFICATION THAT NO IDAHO COLLECTION OR SOLICITATION ACTIVITY HAS BEEN CONDUCTED WITH A CANCELLED PERMIT.**
- **RENEWALS ARE NOT COMPLETE WITHOUT ALL COMPLETED AND ACCEPTABLE FORMS, FEES, AND DOCUMENTS SUBMITTED TO THE DEPARTMENT POSTMARKED BY MARCH 15, 2006**